

ATEL Investor Services

APPLICATION FOR TRANSFER

Qualified

For use with IRA, Roth IRA, SEP, Simple IRA, Pension plans, qualified benefit plans and custodial accounts. Transfers due to death must include certified copy of the death certificate, affidavit of domicile, and letters testamentary. Transfers due to divorce must include divorce documents detailing the disposition of property and any applicable certificates of name change. All account holders must sign, and each signature must be signature guaranteed. Custodian must sign.

SEND TO:

ATEL Investor Services
C/O ACS SECURITIES SERVICES, INC.
3988 NORTH CENTRAL EXPRESSWAY
BUILDING 5, FLOOR 6
DALLAS, TEXAS 75204

TRANSFER FEE: \$50

Custodian to Custodian Transfer fee \$10.00
PLEASE MAKE CHECK PAYABLE TO:
ACS SECURITIES SERVICES, INC.

SHARES TO BE TRANSFERRED ALL OR _____ SHARES
Number

Full name of fund _____

Transferor (or Seller's) Information: ATEL Account Number: _ _ _ _ _

Title: _____

Address: _____

City, State, Zip: _____

IRS Tax Identification Number: _____

Telephone: _ _ _ - _ _ _ - _ _ _

Current Custodian Information:

Custodian Name _____

Custodian Account Number _____

Custodian Address: _____

City, State, Zip: _____

Custodian Tax Identification Number: _____

Custodian Telephone: _ _ _ - _ _ _ - _ _ _

APPLICATION FOR TRANSFER (part 2)

SHARES TO BE TRANSFERRED ALL OR _____ SHARES
Number

Transferee (or Buyer's) Information:

Title: _____

Address: _____

City, State, Zip: _____ City, State, Zip: _____

Telephone: _____ - _____ - _____ e-mail: _____

U.S Citizen _____ Yes _____ No _____

Country of Residence _____

Social Security Number: _____ - _____ - _____

New Custodian Information:

Custodian Name _____

Custodian Account Number _____

Custodian Address: _____

City, State, Zip: _____

Custodian Tax Identification Number: _____

Custodian Telephone: _____ - _____ - _____

New Advisor Information

Name _____

Office Address _____

Telephone Number () _____ - _____ - _____

e-mail address _____

Name of New Broker Dealer _____

Broker Dealer Address _____

Telephone number of new Broker Dealer () _____ - _____ - _____

Representative CRD # _____

Registration Type (circle one): (for certain types of transfers, additional documentation may be required-see instructions at the top of this form)
(IRA) (SEP) (Simple) (Pension) (Roth) (Other Custodial)-explain below:

***Distributions will be paid to your custodial account listed above.
Sign, Date, and Signature Guarantee All Signatures***

By executing this Form, the transferee(s) represent that they have received and/or reviewed the Prospectus and other filings made by the Company with the Securities and Exchange Commission. The transferee(s) accept and agree to be bound by the terms and conditions of the Company's Articles of Incorporation and Bylaws, as amended.

Transferee's Signature Date

Place Medallion Signature Guarantee Stamp here

Co-Transferor's Signature Date

Place Medallion Signature Guarantee Stamp here

Custodian Signature Date

Place Medallion Guarantee Stamp here

ALL SIGNATURES MUST BE GUARANTEED BY A MEMBER OF AN APPROVED MEDALLION SIGNATURE GUARANTEE PROGRAM

California Residents: It is unlawful to consummate a sale or transfer of limited partnership interests or any interests therein, or to receive any compensation therefore, without the prior written consent of the Commissioner of Corporations of the State of California, except as permitted by the Commissioner's rules.

Please use this checklist to ensure a quick turnaround on your transfer request!

- All parties (account holders) must sign and date
- Previous Custodian and New Custodian Must Sign
- Custodians must include a corporate resolution showing all parties authorized to sign on behalf of the custodian
- Custodian information section must be complete
- All signatures must be signature guaranteed
- Include \$50.00 check payable to *ACS Securities Services, Inc.* (check amount will only be \$10.00 for custodian to custodian transfers)
- Review the disbursement options to ensure you made a selection.
- Include supporting documents:
 - If transfer includes creation of a trust, please include trust documents
 - If this is a transfer due to death, please include certified death certificate, letters testamentary, and affidavit of domicile
 - If this transfer includes the formation of a corporate, LLC, or partnership account, please include articles of partnership or incorporation.
 - If this transfer is due to divorce, please include the divorce documents and certification of name change.
 - Include any powers of attorney authorizing 3rd party signatures
 - If this transfer changes the Tax Payer ID or SS# then the buyer is required to fill out a W-9 form and submit with the Transfer Paperwork.

**ATEL Investor Services
C/O ACS Securities Services, Inc
3988 N. Central Expwy
Bldg. 5 Flr 6
Dallas, TX 75204**