

ATEL Investor Services

APPLICATION FOR TRANSFER

Non Qualified

For use with Individual, JTWR0S, Tenants in Common, trust, and corporate accounts. Trust accounts must include trust documents. Corporate accounts must include articles of incorporation. Transfers due to death must include certified copy of the death certificate and applicable documentation such as Letters Testamentary, Affidavit of Domicile or a Successor Trustee Designation. Transfers due to divorce must include divorce documents detailing the disposition of property and any applicable certificates of name change. All account holders must sign, and each signature must be signature guaranteed.

SEND TO:
ATEL Investor Services
C/O ACS SECURITIES SERVICES, INC.
3988 NORTH CENTRAL EXPRESSWAY
BUILDING 5, FLOOR 6
DALLAS, TEXAS 75204

TRANSFER FEE: \$50
PLEASE MAKE CHECK PAYABLE TO:
ACS SECURITIES SERVICES, INC.

SHARES TO BE TRANSFERRED ALL OR _____ SHARES
Number

Full name of fund _____

Transferor (or Seller's) Information:

Shares are currently registered as follows: ATEL Shareholder Number: _ _ _ _ _

Title: _____

Address: _____

City, State, Zip: _____

Social Security or Tax ID Number: _____

Telephone: _ _ _ - _ _ _ - _ _ _

By executing this Form, the transferor(s) hereby certifies and represents possession of valid title and all requisite power to assign such interests and represents and warrants that the transfer effected hereby is made in accordance with all applicable federal and state securities law and regulation. The transferor(s) understands that the transfer may be made only in compliance with the Articles of Incorporation and bylaws, as amended, of the Company. The signature(s) on this Form must correspond with the name(s) in which the transferor(s) hold the transferred shares.

Reason for Transfer (check one): For certain types of transfer, additional documentation may be required

- Re-registration (name change, divorce/separation, individual to trust, etc)
 Sale Death Gift Other (please specify) _____

Sign, Date, and Signature Guarantee All Signatures

Transferor's Signature

Date

Place Medallion Guarantee Stamp here

Co-Transferor's Signature

Date

Place Medallion Guarantee Stamp here

**ALL SIGNATURES MUST BE GUARANTEED BY A MEMBER OF AN APPROVED
MEDALLION SIGNATURE GUARANTEE PROGRAM**

California Residents: It is unlawful to consummate a sale or transfer of limited partnership interests or any interests therein, or to receive any compensation therefore, without the prior written consent of the Commissioner of Corporations of the State of California, except as permitted by the Commissioner's rules.

APPLICATION FOR TRANSFER (part 2)

SHARES TO BE TRANSFERRED ALL OR _____ SHARES
Number

Transferee (or Buyer's) Information:

Title: _____

Address: _____

City, State, Zip: _____

Social Security or Tax ID Number: _____

Telephone: ____ - ____ - ____

U.S Citizen _____ Yes _____ No _____

Country of Residence _____

Social Security or Tax ID Number: _____

Financial Advisor Information (if applicable):

Name _____

Office Address _____

Telephone Number ____ - ____ - ____

e-mail address _____

Name of New Broker Dealer _____

Broker Dealer Address _____

Telephone number of new Broker Dealer ____ - ____ - ____

Representative CRD # _____

Registration Type (circle one): **(for certain types of transfers, additional documentation may be required-see instructions at the top of this form)**

Individual – Joint Tenants – Tenants in Common – Trust – Community Property – Partnership – Corporation

Other (specify: _____)

Distribution Options

You can choose to have your dividends paid by check, electronic deposit to your bank, or mailed to an alternate address. **Please include a voided check if electronic deposit to your bank account is requested.**

Please select from the options below (continued on next page):

Send distributions via check to my home address

Please use this checklist to ensure a quick turnaround on your transfer request!

- All parties (account holders) must sign and date, please make sure signatures are provided in both transferor and transferee sections
- All signatures must be signature guaranteed
- Include \$50.00 check payable to *ACS Securities Services, Inc.*
- Review the disbursement options to ensure you made a selection, and please include a voided check if you would like your distributions direct deposited to your bank account
- Include supporting documents:
 - If this transfer changes the Tax Payer ID or SS# the buyer is required to fill out a W-9 form (available under the sample forms section at www.atel.com/investors)
 - If transfer includes creation of a trust, please include trust documents
 - If this is a transfer due to death, please include certified death certificate and letters testamentary or affidavit of domicile, or successor trustee designation.
 - If this transfer includes the formation of a corporate, LLC, or partnership account, please include articles of partnership or incorporation.
 - If this transfer is due to divorce, please include the divorce documents and certification of name change.
 - Include any powers of attorney authorizing 3rd party signatures

Mail to:
ATEL Investor Services
C/O ACS Securities Services, Inc
3988 N. Central Expwy
Bldg. 5 Flr 6
Dallas, TX 75204